

Virtual Attorney Training

April 29-30, 2021

DAY 1

9:00 – 10:00 AM

Financial Regulatory System and Regulation of the Holding Company

Jeremy Newell, Partner, Covington & Burling LLP

- Bank Charter Types & Principal Regulatory Authorities
- Introduction to the Financial Holding Company Structure
- Bank Holding Company and Financial Holding Company Activities and Restrictions (including the Volcker Rule)
- Inter-Affiliate Transaction Restrictions

10:00 – 10:10 AM

BREAK

10:10 – 11:10 AM

The Bank Balance Sheet and Introduction to Capital and Liquidity

Bill Nelson, EVP, Chief Economist, Bank Policy Institute

- The Bank Balance Sheet
- Banking Issues in Current Public Policy
- Introduction to Prudential Regulation of Capital and Liquidity

11:10 – 11:20 AM

BREAK

11:20 AM – 12:30 PM

Enhanced Prudential Standards

Brett Waxman, SVP, Senior Associate General Counsel, Bank Policy Institute

Anna Harrington, SVP, Associate General Counsel, Bank Policy Institute

- Dodd-Frank Act Enhanced Prudential Standards
- CCAR and Stress Testing
- NSFR and LCR

12:30 – 12:50 PM

Resolution Planning for Large Banking Organizations

Lauren Anderson, SVP, Associate General Counsel, Bank Policy Institute

12:50 – 1:00 PM

Q&A SESSION

1:00 – 2:00 PM

LUNCH BREAK

2:00 – 3:00 PM

Regulation of FDIC-Insured Depository Institutions

John Court, EVP, General Counsel, Bank Policy Institute

Dafina Stewart, SVP, Senior Associate General Counsel, Bank Policy Institute

- Bank Powers
- Regulation of Deposit Taking and Lending
- Branching and Operating Subsidiaries

Virtual Attorney Training

April 29-30, 2021

DAY 2

9:00 – 10:00 AM

Hot Topics and Current Developments in Banking Regulation

Greg Baer, President & CEO, Bank Policy Institute

10:00 – 10:10 AM

BREAK

10:10 – 11:00 AM

Key Consumer Protection Regulations and Issues

Paige Paridon, SVP, Associate General Counsel, Bank Policy Institute

- CFPB Authority and Jurisdiction
- CFPB Structure & Organization
- UDAAP vs UDAP
- Key CFPB Regulations

11:00 – 11:10 AM

BREAK

11:10 AM – 12:00 PM

Supervision and Enforcement

Gregg Rozansky, SVP, Senior Associate General Counsel, Bank Policy Institute

- MRAs (Matters Requiring Attention)
- Enforcement Actions
- Guidance on Guidance

12:00 – 12:10 PM

BREAK

12:10 -1:00 PM

Key AML and Sanctions Requirements and Developments

Angelena Bradfield, SVP, AML/BSA, Sanctions & Privacy, Bank Policy Institute

- AML/BSA
- Sanctions