

**ATTORNEY TRAINING**

November 17, 2020

**9:00- 10:00 AM Financial Regulatory System and Regulation of the Holding Company**

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*Jeremy Newell, Partner, Covington & Burling LLP*

- Bank Charter Types & Principal Regulatory Authorities
- Introduction to the Financial Holding Company Structure
- Bank Holding Company and Financial Holding Company Activities and Restrictions (including the Volcker Rule)
- Inter-Affiliate Transaction Restrictions

**10:00 -11:00 AM The Bank Balance Sheet and Introduction to Prudential Regulation of Capital and Liquidity**

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*Bill Nelson, EVP, Chief Economist, Bank Policy Institute*

- The Bank Balance Sheet
- Banking Issues in Current Public Policy
- Introduction to Prudential Regulation of Capital and Liquidity

**11:00 –12:00 PM Enhanced Prudential Standards, Tailoring and Resolution Planning for Large Banking Organizations**

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*Brett Waxman, SVP, Senior Associate General Counsel, Bank Policy Institute*

*Anna Harrington, SVP, Associate General Counsel, Bank Policy Institute*

*Lauren Anderson, SVP, Associate General Counsel, Bank Policy Institute*

- Dodd-Frank Act Enhanced Prudential Standards and 2018 Tailoring Amendments
- CCAR and Stress Testing
- Dodd-Frank Resolution Planning Requirements

**12:00 - 1:00 PM LUNCH BREAK**

**1:00- 2:00 PM Regulation of FDIC-Insured Depository Institutions**

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*Gregg Rozansky, SVP, Senior Associate General Counsel, Bank Policy Institute*

*Dafina Stewart, SVP, Senior Associate General Counsel, Bank Policy Institute*

- Bank Powers
- Regulation of Deposit Taking and Lending
- Branching and Operating Subsidiaries

**2:00- 2:45 PM Banking Agency Response to COVID-19 and Directions in U.S. Banking Law and Regulations**

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*Greg Baer, President & CEO, Bank Policy Institute*

*Lauren Anderson, SVP, Associate General Counsel, Bank Policy Institute*

**2:45- 3:00 PM BREAK**

**3:00- 3:45 PM Key Consumer Protection Regulations and Issues**

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*Naeha Prakash, Regulatory Affairs, Bank Policy Institute*

- CFPB Authority and Jurisdiction
- CFPB Structure & Organization
- UDAAP vs UDAP
- Key CFPB Regulations

**3:45 - 4:30 PM Supervision and Enforcement**

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*John Court, SVP, General Counsel, Bank Policy Institute*

*Angelena Bradfield, SVP, AML/BSA, Sanctions & Privacy, Bank Policy Institute*

- MRAs (Matters Requiring Attention)
- Enforcement Actions
- Guidance on Guidance
- AML/BSA

**4:30 - 5:30 PM Supervisory Priorities: Cybersecurity, 3<sup>rd</sup> and 4<sup>th</sup> Party Risk and Operational Resilience**

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*Chris Feeney, Executive Vice President and President of BITS, Bank Policy Institute*

*Heather Hogsett, Senior Vice President, Technology and Risk Strategy*