

ATTORNEY TRAINING

January 23, 2020

OVERVIEW

This training program is designed to provide BPI member employees an overview of U.S. statutory, regulatory and supervisory requirements and expectations for banks and bank holding companies. As reflected in the agenda, the goal is to provide participants with background on the basic legal and regulatory structure for banking and an introduction to key regulatory issues they will likely face.

To Register: Please register [HERE](#).

Registration Fee: \$500

Location: Covington & Burling LLP

The New York Times Building
620 8th Ave, New York, NY 10018

RSVP Deadline: January 23, 2020

For questions, contact Tracey Dantuono at 202.589.2436 or

Tracey.Dantuono@bpi.com.



9:00-10:00 AM Financial Regulatory System and Holding Company Structures

Jeremy Newell, Partner, Covington & Burling LLP

- Bank Charter Types
- Introduction to the Financial Holding Company Structure
- Primary Regulators for Banking Institutions and Agency Structure

10:00-11:00 AM Key U.S. Banking Laws, Regulations and Guidance

Gregg Rozansky, Senior Vice President, Senior Associate General Counsel, Bank Policy Institute

- Respective Jurisdictions of the Banking Agencies
- BHCA/BMA: Regulatory Review and Approval of Bank Transactions and Initiatives

11:00 - 11:15 AM BREAK

11:15 - 12:30 PM The Bank Balance Sheet and Prudential Regulation

Bill Nelson, Executive Vice President and Chief Economist, Bank Policy Institute

- The Bank Balance Sheet
- Banking Issues in Current Public Policy
- Prudential Regulation of Capital and Liquidity

12:30 - 1:30 PM Networking Lunch

1:30-2:15 PM Supervision and Enforcement

Greg Baer, Chief Executive Officer, Bank Policy Institute

- MRAs (Matters Requiring Attention)
- Enforcement Actions
- Guidance on Guidance

2:15 - 3:15 PM Part 1: Current Supervisory Priorities

Gregg Rozansky, Senior Vice President, Senior Associate General Counsel, Bank Policy Institute

- AML/BSA
- Third Party Risk Management
- Bank Conduct Risk Management

3:15 - 3:30 PM BREAK

3:30 - 4:15 PM Part 2: Current Supervisory Priorities

Chris Feeney, Executive Vice President and President of BITS, Bank Policy Institute

- Cybersecurity
- Operational Resiliency

4:15-5:15 PM Key Consumer Compliance Issues

Naeha Prakash, Associate General Counsel and Senior Vice President for Consumer Regulatory Affairs, Bank Policy Institute

- Jurisdiction of the CFPB
- Key CFPB Regulations
- UDAP and UDAAP

5:15-6:30 PM Networking Reception

Location TBD